

PATENT APPLICATION

USER IMPERSONATION BY A PROXY SERVER

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CROSS-REFERENCES TO RELATED APPLICATIONS

[01] This application claims priority to Provisional Application Number 5 60/240,602, filed October 12, 2000, which is hereby incorporated by reference for all purposes.

BACKGROUND OF THE INVENTION

[02] Networked connections to the Internet are common, particularly in a 10 corporate environment where multiple users are connected, via an intranet network and a proxy web server, to the Internet. The proxy server may store content (e.g., web site pages) for access by users outside the intranet. The server also provides internal network users with a portal or gateway to the Internet and runs many important internal applications. For example, the proxy server may act as a firewall to screen out harmful data and viruses. It may 15 allocate connections, by permitting many users to share a single, high bandwidth connection to the Internet. It may also cache popular websites (saving access time) and block access to objectionable websites.

[03] Because it serves as a user's portal to the Internet, a proxy or web 20 server may be set-up to offer easy and convenient access to useful websites and data that are accessed via the Internet. The proxy server may be designed to provide a user interface with convenient links to selected pages of websites (e.g., the headline page of a news site). One drawback to such access is that it may be difficult to personalize (for each user) the content of those websites. Customizing and personalizing content can require use of passwords, 25 "cookies", or other personal information, and there are practical difficulties in the proxy server storing personal information for every user. Even if such personal information can be stored, it may not be entirely useful since such information is periodically updated and there is no assurance that the stored information is the most current. Software can be loaded on a user's machine to personalize the content of a website (even if access is through a proxy server), but often the manager of a corporate network will not permit software (other than 30 specifically authorized programs) to reside on user machines.

BRIEF SUMMARY OF THE INVENTION

[04] According to an embodiment of the invention, there is provided a computer implemented method in a computer network for transmitting information between a user (client) web browser and a proxy server. The method includes initiating and sending a first HTTP (HyperText Transfer Protocol) message or request from the web browser. The first HTTP request has a script identifier. The proxy server receives the first HTTP request, extracts the script identifier from the first HTTP request, and searches a database for a script associated with the script identifier. The proxy server executes the script, generating a result for the user, and then transmits the result to the web browser.

[05] The proxy server provides users with a portal to the Internet, and the result generated from the script uses result information obtained by the proxy server via the Internet. In a further embodiment of the invention, the proxy server (as a result of executing the script) sends an HTTP response to the user requesting personalized information of the user. In response thereto, the web browser sends a second HTTP request (including the personalized information) to the proxy server, wherein such personalized information is extracted, and the HTTP request is discarded (not passed on to the Internet or intranet). The personalized information is subsequently used by the proxy server to obtain results information via the Internet.

[06] In other embodiments, the personalized information may include user names, passwords, and cookies (previously stored with the user by a website). The first and second HTTP requests are encoded with character information recognized by the proxy server, resulting in the messages being interpreted by the proxy server before the requests are discarded.

[07] In embodiments of the present invention, the use of scripts and specially encoded HTTP requests permits the web browser to be “tricked” into providing personalized information to the proxy server that otherwise might only be provided by the user directly to the website being accessed. For example, the script can intercept the HTTP messages and thereby get user names, passwords and cookies, with the web browser “thinking” that those are being provided to the website. The proxy executes the scripts using the personalized information in order to automatically perform steps that the user would be performing itself if it were directly accessing the website to get the desired results or information.

BRIEF DESCRIPTION OF THE DRAWINGS

[08] Figure 1 is a schematic block diagram illustrating one embodiment of a network in accordance with the present invention.

5 [09] Figure 2 is a flow diagram illustrating the operation of the network of Figure 1.

[10] Figure 3 is a block diagram illustrating the flow of HTTP messages and other information in the network of Figure 1.

10 [11] Figure 4 illustrates the entry of a user name and password in response to an HTTP response from the proxy server.

DETAILED DESCRIPTION OF THE INVENTION

[12] An embodiment of the invention described hereinafter provides a network for connecting a user to the Internet via a proxy, where the proxy impersonates the user and thus can obtain personalized information from or on behalf of the user.

15 [13] As used herein, the term proxy may refer to any apparatus residing at the gateway between a user and a network (such as the Internet). Since all network traffic (i.e., HTTP messages from the user) flows through this point, the proxy is able to capture, interpret and extract data from the messages. Embodiments of a proxy in accordance with the present invention include, but are in no way limited to, a proxy or web server or an extension to one, a firewall or an extension to one, the local application on each machine responsible for network connections (in the case where the intranet is a single machine, this application is the bottleneck through which all communications pass), a modem or its driver, or an Internet Service Provider (ISP).

20 [14] There are numerous configurations for implementing the present invention. In the illustrated embodiment of Figure 1, the invention is implemented and described using a typical corporate network 100. User machines 101 (only one of which is shown in Figure 1) each have a conventional browser 102 for facilitating communications through a web or proxy server 106 to the Internet 110. For security reasons, this network configuration of Figure 1 is preferred over simply allowing users 101 to connect directly to the Internet. The network 100 also has a database 108 or other data storage apparatus for storing data for use by the server 106. The server 106 runs appropriate applications in order to serve as a firewall and perform other well-known intranet management functions, such as allocating Internet connections among users, blocking objectionable websites, providing a common graphical user interface to the users at the user machines 101, etc. While not shown

in Figure 1, those skilled in the art will appreciate that various other standard hardware components may be used in the network 100, such as a hub for connecting various user machines to the server 106, and a hub (and router) for connecting the server 106 (and other local web servers) to the physical, high bandwidth line going out to an ISP.

5 [15] As will be described hereinafter, the corporate network provides a single point of connection (the server 106) between the corporate intranet and the Internet. Since all Internet traffic passes through this point, the bottleneck provides a preferred location to situate a user impersonating proxy embodying the present invention. Further, as will become apparent from the following description, the present embodiment of the invention 10 can be implemented without installing additional software or other functionality on user machines. The functionality to be described will work with conventional browsers that use conventional HTTP protocol.

15 [16] Before describing the operation of the network 100, an example of a circumstance where the present invention might be used will first be given.

20 [17] In this example, a user wants to access personal bank records on-line at the user machine 101 and determine his/her checking account balance. If such a transaction were conducted in an environment without the server 106, the user sends an HTTP request (identifying the URL of the bank) and a response from the bank's website (seen at the user's machine in the form of a webpage from the bank) typically requires the user to go through several steps or prompts, such as log in, user ID or name, password, a selection of the account to be accessed, etc. In some cases, if the user has accessed the bank's website previously, the bank's server may have stored a cookie in the user's machine during such a previous visit. The cookie is automatically included within HTTP requests to the bank's server during subsequent visits. Among other things, the cookie may have personal information of the user 25 indicated (e.g., account selections or transaction preferences based on past transactions) and thus may eliminate some of the steps or prompts for entry of personal information on subsequent visits.

[18] In the network 100, when the user sends an HTTP request to the server 106 for purposes of accessing the bank's website, a script in the database 108 is executed.

30 The script is written to automatically carry out some of the steps needed to conduct the desired transaction (determine checking account balance). Thus, the script will automatically log on to the bank's website, retrieve and provide a user name and password, and select the user's checking account for the balance inquiry. Alternatively, the script can be written to check for and retrieve a cookie from the user, even though the HTTP request is to the proxy

server 106 rather than the bank's server (the web browser will typically send a cookie only to the website that created it, i.e., the bank's website). As will be described below, the proxy server 106 impersonates the user so that it appears to the bank's server that it is communicating with the user when in actuality it is communicating with the proxy server, which in turn is automatically performing steps that the user would normally be expected to complete. The present embodiment of the invention thus permits a user to avoid some or all of the multiple steps involved in accessing and then checking the balance of the user's bank account.

[19] Turning to Figures 2 and 3, the operation of the proxy server 101 in impersonating the user will now be described. To understand the operation, the various steps disclosed will be described in connection with the previously referenced example of an on-line checking account balance inquiry.

[20] In order to initiate a balance inquiry, the user's browser sends an encoded HTTP request to the proxy server, step 202 in Figure 2 and arrow 302 in Figure 3. The request can be manually entered into the address bar of the web browser, but in a more likely embodiment, the request can be sent in response to the user clicking on an icon already programmed into the user's machine and implemented as a hypertext link on the user's homepage (as managed by the server 106). An example of such an HTTP request might be:

GET http://www.myproxy.com/runScript/1234?\$proxy\$ HTTP/1.1.

[21] In this HTTP request, the proxy server's URL is "myproxy". The sequence of characters or code "\$proxy\$" indicates to the proxy that this is a request to be handled by it and not to be forwarded to the Internet. At step 204, the proxy intercepts and interprets the request, which in this case specifies that the script "1234" in the database 108 is to be retrieved and executed (step 206) at the proxy server.

[22] The following pseudo-code is one possible implementation of the script "1234" that, when executed at the proxy server, implements a checking account balance inquiry:

```
GetURL("https://www.mybank.com")
loginButton.Click()
30   userName.Text = GetUsername("http://www.mybank.com")
      password.Text = Get Password("https://www.mybank.com")
      submitButton.Click()
      checkingAccountHyperlink.Click()
      result = "Your balance is " + balanceElement.Text
```

logoffButton.Click()

[23] In this script, “mybank” is the URL for the bank’s website. The bank’s home page is fetched from the Internet (arrow 304 in Figure 3) and the login button on the page is automatically pressed. The proxy determines whether additional information is needed from the user (step 208), and in our example, the script automatically requests a user name and a password from the user (arrow 308). The script requests the user name from the proxy (“GetUsername” command), and since it is not yet at the proxy, the proxy sends a request for authorization HTTP response to the user, such as standard HTTP error code # 401 Unauthorized, as follows:

10 HTTP/1.1 401 Unauthorized

WWW-Authenticate: Basic realm=“www.mybank.com”

which will cause the user’s browser to pop-up the dialog box 402 illustrated in Figure 4. In Figure 4, that dialog box serves as a prompt for the user to enter both the user name and password, and after entering and clicking OK, this information is returned to the proxy 106 (although intended by browser for bank’s website) in the form of a new HTTP request (step 212, arrow 310), which HTTP request might appear as follows:

GET http://www.mybank.com/\$proxy\$ HTTP/1.1

Authorization: Basic QWxhZGRpbjpvcGVuIHN1c2FtZQ

20 The proxy -- seeing the encoding “\$proxy\$” -- intercepts the new HTTP request (step 214), extracts the needed information (user name and password) and continues to execute the script with the additional information (step 206). The proxy is now able to reply to the “GetUsername” command in the script, returning the user name (e.g., “John Doe”) to the script. When the script requests the password (using the “GetPassword” command), that information is already at the proxy, and the proxy need not issue another request for 25 authorization to the user.

[24] While not described above, it should be apparent that the new HTTP request returned by the user to the proxy is simplified (for purposes of explaining the invention). Such a request would need to include a session identifier so that the proxy will know the script for which it is to continue execution.

30 [25] It should be appreciated that after the proxy receives the user name and password, it can be stored at the proxy (e.g., at database 108) for future calls to the script by the same user. The script can be executed without the proxy having to issue any authorization requests, and the steps 210, 212, and 214 in Figure 2 can be bypassed in future calls.

[26] After retrieving the user name and password, the proxy continues to execute the script, by retrieving the appropriate pages from the bank's website (arrow 314), entering the user name and password, clicking the submit button, and clicking a checking account hyperlink. After getting the needed balance information from the website, the script 5 returns the result (checking account balance) to the user. In this embodiment, that information is returned (step 218, arrow 318) and is displayed at the user machine as, for example, "Your balance is \$312.87". The script then logs off at the bank's website.

[27] While not specifically described above in connection with Figures 2 and 3, the present invention can also be used with cookies stored at the user machine. One 10 obstacle to the proxy server 106 using cookies is that typically a web server can only receive cookies that it itself has created and has put at the user machines, and cannot receive cookies put on those machines by other servers or websites (i.e., in the above example, the proxy server cannot receive cookies put at a user's machine by the bank's server, and vice versa). In another embodiment of the invention, the proxy server overcomes this obstacle by having 15 a script loaded into the database 108 that "tricks" the browser at the user machine into sending to it a cookie intended for another website (i.e., the bank's website).

[28] As an example, assume that in accessing bank records it would be useful to have the user cookie sent along with an HTTP request to the bank's website. The HTTP request to the proxy server might be:

20 GET http://www.myproxy.com/runScript/1235?\$proxy\$ HTTP/1.1

[29] The proxy server 106 intercepts and parses the request, by recognizing the special characters "\$proxy\$" as indicating that it (the proxy) is to handle the request (and not forward it on to the Internet). In this case, the HTTP request tells the proxy server to execute the script "1235". This particular script can be designed to get cookies returned to it 25 even if it is not the creating website by returning to the user a standard HTTP redirect response (e.g., HTTP error code #307 Temporary Redirect), pointing to the website (i.e., the bank's website) whose cookie it needs. The script can be written to perform the following proxy response (a response to the initial HTTP request from the user):

HTTP/1.1 307 Temporary Redirect

30 Location: http://www.mybank.com/\$proxy\$

[30] This response tells the user's browser that it is to redirect a request to the bank's website (at the URL "mybank") and attach to the request the special characters "\$proxy\$". As earlier described, the characters "\$proxy" are recognized by the proxy as indicating that the returned HTTP request is to be intercepted and handled by it, and not

forwarded to the Internet. The user's browser therefore initiates the following new request that includes the cookie:

```
GET http://www.mybank.com/$proxy$ HTTP/1.1
Cookie: UserID=4433123458; Frames=No
```

5 [31] This new HTTP request is intercepted by the proxy, the cookie (4433123458) is extracted, and the request then discarded or nullified at the proxy. In addition, the script could also request the user name for the mybank.com domain, causing the proxy to send a request for authorization response (HTTP error code #401), which as described earlier in connection with Figures 2, 3 and 4, causes a dialog to pop-up at the 10 browser, and after user name and password are entered, is returned to the proxy in the form of a new HTTP request. That proxy request is intercepted and the user name and password extracted, so that the proxy is able to fully impersonate the user by not only getting the user's cookie, but also the user's name and password in order to login at the bank's website.

15 [32] The following is one example of Pseudo code for script 1235 that could implement the functions just described:

```
GetURL("https://www.mybank.com")
loginButton.Click()
userName.Text = GetUsername("http://www.mybank.com")
password.Text = Get Password("https://www.mybank.com")
submitButton.Click()
checkingAccountHyperlink.Click()
result = "Your balance is " + balanceElement.Text
logoffButton.Click()
```

20 [33] As should be apparent, this can be the same pseudo code as shown earlier in connection with extracting a user name and password at the proxy (Figures 2, 3 and 4). In this case, whenever there is a "GET" URL call (HTTP request), and there is a cookie for the website stored at the user's machine, the cookie is automatically attached to the request. Of course, since it is the same pseudo code as described earlier, it also retrieves a user name and password from the user for the proxy to send to the "mybank" website. As 25 should also be apparent, when the website is accessed through the proxy, the website may send an updated cookie to the user. In such case, the script may be written in order for the proxy to pass the cookie on to the user (and perhaps also store it in the database 108). If the cookie (and other personal information) is stored in the database, the proxy server may be 30

programmed to automatically return the personal information when a subsequent HTTP request comes from the user (eliminating most, if not all, the script steps).

[34] It should be apparent that embodiments of the present invention will have many applications other than those described above. Generally, the proxy can be used to impersonate a user when communicating with any website needing one or more steps to be performed. Those steps can be accomplished automatically by the proxy with minimal (and perhaps no) involvement by the user (e.g., when personal information has previously been retrieved and stored by the proxy). One example of a further application would be a transaction at a retail website, where the user must go through a number of steps, such as searching for the product, clicking on the “add to cart” button, checking out, entering credit card information and a shipping address, and then confirming the order. By accessing the retail website through the proxy, the proxy is able to impersonate the user and automatically complete most if not all of these transactions steps from a single command (as far as the user is concerned)

[35] Another possible application of the present invention might involve the proxy server impersonating the user by “anticipating” information that the user will need to provide to or need to get from another user or another server. For example, a new employee (user) on the corporate network 100 might need to provide employee information when he begins employment. When the user first logs on to the proxy server, the proxy server asks for that information even before it has been specifically requested by another server (e.g., a server maintained by the employer’s personnel department). Thus, when that user sends its first HTTP message to the proxy, the proxy recognizes the user as a new employee and returns an HTTP response (setting up an HTML page on the user’s machine) that asks for basic employee information (name, address, employee ID, payroll deductions, etc.) which the proxy stores in the database 108. When that information is later sought by the employer’s server, it may be automatically supplied by the proxy without having to be separately entered at the user’s machine.

[36] Alternatively, the user might have important information automatically “pushed” to it when the proxy has (or has access to) that information. For example, if an important corporate message needs to go to all users on the network 100, then as each user logs on to the proxy (by opening the user’s browser and attempting to navigate to a website), the proxy can be programmed to check for the last “corporate” message viewed by that user. If it is not up-to-date (i.e., the most recent, important message), the proxy first “pushes” that

message to the user as an initial HTTP response rather than returning information from the user-requested website.

[37] In conclusion, the present invention provides a novel method and system for impersonating a user at a proxy, in order to conveniently access personal information, reduce the complexity of steps involved in on-line transactions, or achieve other advantages apparent to those skilled in the art. While a detailed description of presently preferred embodiments of the invention have been given above, various alternatives, modifications, and equivalents will be apparent to those skilled in the art without varying from the spirit of the invention. Therefore, the above description should not be taken as limiting the scope of the invention, which is defined by the appended claims.